

Linear Transformations

Another way to view $Ax = b$:

Matrix A is an object acting on x by multiplication to produce a new vector Ax or b .

EXAMPLE:

$$\begin{matrix} \begin{bmatrix} 2 & -4 \\ 3 & -6 \\ 1 & -2 \end{bmatrix} & \begin{bmatrix} 2 \\ 3 \end{bmatrix} & = & \begin{bmatrix} -8 \\ -12 \\ -4 \end{bmatrix} \\ \uparrow & \uparrow & & \uparrow \\ A & x & & b \end{matrix}$$

$$\begin{matrix} \begin{bmatrix} 2 & -4 \\ 3 & -6 \\ 1 & -2 \end{bmatrix} & \begin{bmatrix} 2 \\ 1 \end{bmatrix} & = & \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \\ \uparrow & \uparrow & & \uparrow \\ A & u & & 0 \end{matrix}$$

Suppose A is $m \times n$. Solving $Ax = b$ amounts to finding all _____ in \mathbb{R}^n which are transformed into vector b in \mathbb{R}^m through multiplication by A .

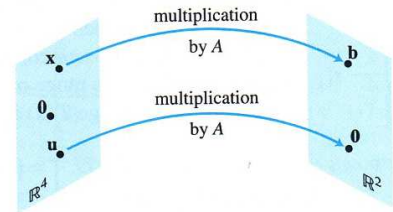


FIGURE 1 Transforming vectors via matrix multiplication.

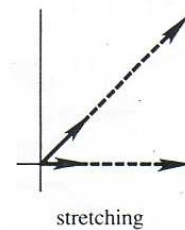
The correspondence from x to Ax is a function from one set of vectors to another.

Matrix transformations

Examples

1. A multiple of the identity matrix, $A = cI$,

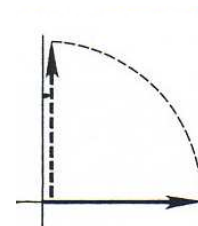
$$A = \begin{bmatrix} c & 0 \\ 0 & c \end{bmatrix}$$



stretching

2. A rotation matrix turns the whole space around the origin. e.g. A turns all vectors through 90°

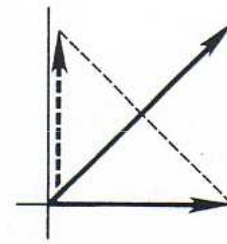
$$A = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix}$$



90° rotation

3. A reflection matrix transforms every vector into its image on the opposite side of a mirror.

$$A = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}$$

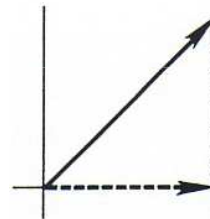


reflection

4. A projection matrix takes the whole space onto a lower dimensional subspace.

e.g.

$$A = \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}$$



projection

A transformation T from \mathbb{R}^n to \mathbb{R}^m is a rule that assigns to each vector \mathbf{x} in \mathbb{R}^n a vector $T(\mathbf{x})$ in \mathbb{R}^m .

Terminology:

\mathbb{R}^n : domain of T

\mathbb{R}^m : codomain of T

$T(\mathbf{x})$ in \mathbb{R}^m is the **image** of \mathbf{x} under the transformation T

Set of all images $T(\mathbf{x})$ is the **range** of T

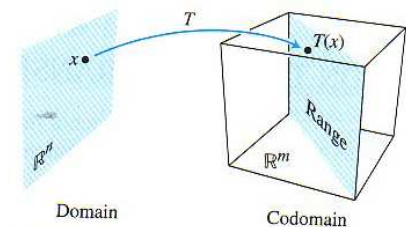


FIGURE 2 Domain, codomain, and range of $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$.

$T(\mathbf{x})$ is computed as $A\mathbf{x}$ (multiply \mathbf{x} by A to transform $\mathbf{x} \rightarrow A$)

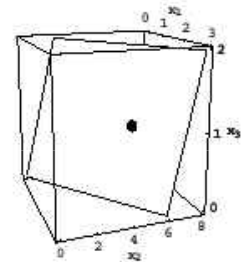
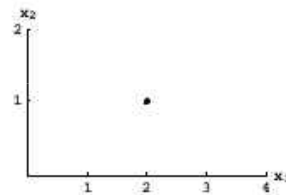
The range of T is the set of all linear combinations of the columns of A , because each image of $T(\mathbf{x})$ is of the form $A\mathbf{x}$.

EXAMPLE: Let $A = \begin{bmatrix} 1 & 0 \\ 2 & 1 \\ 0 & 1 \end{bmatrix}$. Define a transformation

$T: \mathbf{R}^2 \rightarrow \mathbf{R}^3$ by $T(\mathbf{x}) = A\mathbf{x}$.

Then if $\mathbf{x} = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$,

$$T(\mathbf{x}) = A\mathbf{x} = \begin{bmatrix} 1 & 0 \\ 2 & 1 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 2 \\ 5 \\ 1 \end{bmatrix}$$



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EXAMPLE: Let $A = \begin{bmatrix} 1 & -2 & 3 \\ -5 & 10 & -15 \end{bmatrix}$, $\mathbf{u} = \begin{bmatrix} 2 \\ 3 \\ 1 \end{bmatrix}$,

$\mathbf{b} = \begin{bmatrix} 2 \\ -10 \end{bmatrix}$ and $\mathbf{c} = \begin{bmatrix} 3 \\ 0 \end{bmatrix}$. Then define a transformation

$T: \mathbf{R}^3 \rightarrow \mathbf{R}^2$ by $T(\mathbf{x}) = A\mathbf{x}$.

- Find an \mathbf{x} in \mathbf{R}^3 whose image under T is \mathbf{b} .
- Is there more than one \mathbf{x} under T whose image is \mathbf{b} .
(*uniqueness problem*)
- Determine if \mathbf{c} is in the range of the transformation T .
(*existence problem*)

Solution: (a) Solve _____ = _____ for \mathbf{x} .

i.e., solve _____ = _____ or

$$\begin{bmatrix} 1 & -2 & 3 \\ -5 & 10 & -15 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = \begin{bmatrix} 2 \\ -10 \end{bmatrix}$$

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Augmented matrix:

$$\begin{bmatrix} 1 & -2 & 3 & 2 \\ -5 & 10 & -15 & -10 \end{bmatrix} \sim \begin{bmatrix} 1 & -2 & 3 & 2 \\ 0 & 0 & 0 & 0 \end{bmatrix}$$

$$x_1 = 2x_2 - 3x_3 + 2$$

x_2 is free

x_3 is free

Let $x_2 = \underline{\hspace{2cm}}$ and $x_3 = \underline{\hspace{2cm}}$. Then $x_1 = \underline{\hspace{2cm}}$.

So $\mathbf{x} = \begin{bmatrix} \\ \\ \end{bmatrix}$

(b) Is there more than one \mathbf{x} whose image under T is \mathbf{b} ?

~ Is there an \mathbf{x} for which $T(\mathbf{x})=\mathbf{b}$?

Free variables exist



There is more than one \mathbf{x} for which $T(\mathbf{x}) = \mathbf{b}$

A uniqueness problem for a system of linear equations, translated here into the language of matrix transformations : Is \mathbf{b} the image of a unique \mathbf{x} in \mathbb{R}^n

(c) Is there an \mathbf{x} for which $T(\mathbf{x}) = \mathbf{c}$? This is another way of

asking if $A\mathbf{x} = \mathbf{c}$ is _____.

Augmented matrix:

$$\begin{bmatrix} 1 & -2 & 3 & 3 \\ -5 & 10 & -15 & 0 \end{bmatrix} \sim \begin{bmatrix} 1 & -2 & 3 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

\mathbf{c} is not in the _____ of T .

An existence problem:

Does there exist an \mathbf{x} whose image is \mathbf{c} ?

Linear transformations

If A is $m \times n$, then the transformation $T(\mathbf{x}) = A\mathbf{x}$ has the following properties:

$$T(\mathbf{u} + \mathbf{v}) = A(\mathbf{u} + \mathbf{v}) = \underline{\hspace{2cm}} + \underline{\hspace{2cm}}$$

$$= \underline{\hspace{2cm}} + \underline{\hspace{2cm}}$$

and

$$T(c\mathbf{u}) = A(c\mathbf{u}) = \underline{\hspace{1cm}}A\mathbf{u} = \underline{\hspace{1cm}}T(\mathbf{u})$$

for all \mathbf{u}, \mathbf{v} in \mathbf{R}^n and all scalars c .

DEFINITION

A transformation T is **linear** if:

- i. $T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v})$ for all \mathbf{u}, \mathbf{v} in the domain of T .
- ii. $T(c\mathbf{u}) = cT(\mathbf{u})$ for all \mathbf{u} in the domain of T and all scalars c .

Linear transformations preserve the operations of vector addition and scalar multiplication

Every matrix transformation is a **linear** transformation.

Useful fact If T is a linear transformation, then

$$T(\mathbf{0}) = \mathbf{0} \quad \text{and}$$

$$T(c\mathbf{u} + d\mathbf{v}) = cT(\mathbf{u}) + dT(\mathbf{v}).$$

Proof:

$$T(\mathbf{0}) = T(0\mathbf{u}) = \underline{\hspace{1cm}}T(\mathbf{u}) = \underline{\hspace{1cm}}$$

$$T(c\mathbf{u} + d\mathbf{v}) = T(\underline{\hspace{1cm}}) + T(\underline{\hspace{1cm}})$$

$$= \underline{\hspace{1cm}}T(\underline{\hspace{1cm}}) + \underline{\hspace{1cm}}T(\underline{\hspace{1cm}})$$

If a transformation satisfies $T(c\mathbf{u} + d\mathbf{v}) = cT(\mathbf{u}) + dT(\mathbf{v})$ for all \mathbf{u}, \mathbf{v} and c, d it must be linear.

$$T(c_1\mathbf{V}_1 + c_2\mathbf{V}_2 + \dots + c_p\mathbf{V}_p) = c_1T(\mathbf{V}_1) + \dots + c_pT(\mathbf{V}_p)$$

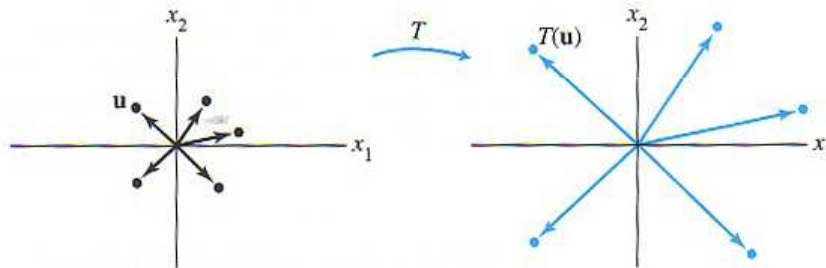
Example

Given a scalar r , define $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ by $T(\mathbf{x}) = r\mathbf{x}$. T is called a contraction when $0 \leq r \leq 1$ and a dilation when $r > 1$. Let $r = 3$, and show that T is a linear transformation.

Solution Let \mathbf{u}, \mathbf{v} be in \mathbb{R}^2 and let c, d be scalars. Then

$$\begin{aligned} T(c\mathbf{u} + d\mathbf{v}) &= 3(c\mathbf{u} + d\mathbf{v}) && \text{Definition of } T \\ &= 3c\mathbf{u} + 3d\mathbf{v} \\ &= c(3\mathbf{u}) + d(3\mathbf{v}) && \left. \begin{array}{l} \\ \\ \end{array} \right\} \text{Vector arithmetic} \\ &= cT(\mathbf{u}) + dT(\mathbf{v}) \end{aligned}$$

Thus T is a linear transformation because it satisfies (4). See Fig. 5.



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EXAMPLE 5 Define a linear transformation $T: \mathbb{R}^2 \rightarrow \mathbb{R}^2$ by

$$T(\mathbf{x}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} -x_2 \\ x_1 \end{bmatrix}$$

Find the images under T of $\mathbf{u} = \begin{bmatrix} 4 \\ 1 \end{bmatrix}$, $\mathbf{v} = \begin{bmatrix} 2 \\ 3 \end{bmatrix}$, and $\mathbf{u} + \mathbf{v} = \begin{bmatrix} 6 \\ 4 \end{bmatrix}$.

Solution

$$T(\mathbf{u}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 4 \\ 1 \end{bmatrix} = \begin{bmatrix} -1 \\ 4 \end{bmatrix}, \quad T(\mathbf{v}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 2 \\ 3 \end{bmatrix} = \begin{bmatrix} -3 \\ 2 \end{bmatrix},$$

$$T(\mathbf{u} + \mathbf{v}) = \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} 6 \\ 4 \end{bmatrix} = \begin{bmatrix} -4 \\ 6 \end{bmatrix}$$

Note that $T(\mathbf{u} + \mathbf{v})$ is obviously equal to $T(\mathbf{u}) + T(\mathbf{v})$. It appears from Fig. 6 that T rotates \mathbf{u} , \mathbf{v} , and $\mathbf{u} + \mathbf{v}$ counterclockwise about the origin through 90° . In fact, T transforms the entire parallelogram determined by \mathbf{u} and \mathbf{v} into the one determined by $T(\mathbf{u})$ and $T(\mathbf{v})$. (See Exercise 28.)

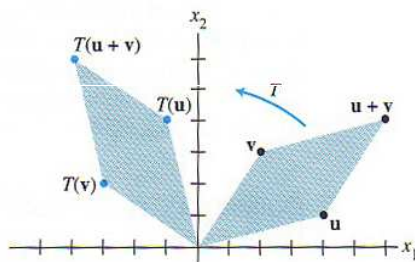


FIGURE 6 A rotation transformation.

- T rotates $\mathbf{u}, \mathbf{v}, \mathbf{u} + \mathbf{v}$ anticlockwise about the origin through 90° .

- T transforms the entire parallelogram determined by \mathbf{u} and \mathbf{v} into the one determined by $T(\mathbf{u})$ and $T(\mathbf{v})$

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EXAMPLE: Let $\mathbf{e}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$, $\mathbf{e}_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$, $\mathbf{y}_1 = \begin{bmatrix} 1 \\ 0 \\ 2 \end{bmatrix}$ and

$\mathbf{y}_2 = \begin{bmatrix} 0 \\ 1 \\ 1 \end{bmatrix}$. Suppose $T : \mathbf{R}^2 \rightarrow \mathbf{R}^3$ is a linear transformation

which maps \mathbf{e}_1 into \mathbf{y}_1 and \mathbf{e}_2 into \mathbf{y}_2 . Find the images of

$\begin{bmatrix} 3 \\ 2 \end{bmatrix}$ and $\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$.

Solution: First, note that

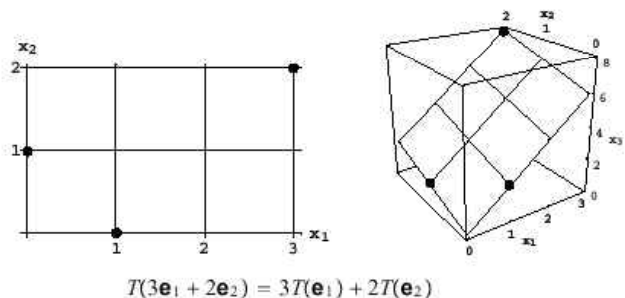
$$T(\mathbf{e}_1) = \text{_____} \quad \text{and} \quad T(\mathbf{e}_2) = \text{_____}.$$

Also

$$\text{_____} \mathbf{e}_1 + \text{_____} \mathbf{e}_2 = \begin{bmatrix} 3 \\ 2 \end{bmatrix}$$

Then

$$T\left(\begin{bmatrix} 3 \\ 2 \end{bmatrix}\right) = T(\text{_____} \mathbf{e}_1 + \text{_____} \mathbf{e}_2) = \text{_____} T(\mathbf{e}_1) + \text{_____} T(\mathbf{e}_2) =$$



$$T\left(\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}\right) = T(\text{_____} \mathbf{e}_1 + \text{_____} \mathbf{e}_2) = \text{_____} T(\mathbf{e}_1) + \text{_____} T(\mathbf{e}_2) =$$

EXAMPLE: Define $T: \mathbb{R}^3 \rightarrow \mathbb{R}^2$ such that $T(x_1, x_2, x_3) = (x_1 + x_3, 2 + 5x_2)$. Show that T is not a linear transformation.

Solution: Another way to write the transformation:

$$T\left(\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}\right) = \begin{bmatrix} x_1 + x_3 \\ 2 + 5x_2 \end{bmatrix}$$

Provide a **counterexample** - example where $T(\mathbf{0}) = \mathbf{0}$, $T(c\mathbf{u}) = cT(\mathbf{u})$ or $T(\mathbf{u} + \mathbf{v}) = T(\mathbf{u}) + T(\mathbf{v})$ is violated.

A counterexample:

$$T(\mathbf{0}) = T\left(\begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix}\right) = \begin{bmatrix} \quad \\ \quad \end{bmatrix} \neq \begin{bmatrix} 0 \\ 0 \end{bmatrix}$$

which means that T is not linear.

Another counterexample: Let $c = -1$ and $\mathbf{u} = \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$. Then

$$T(c\mathbf{u}) = T\left(\begin{bmatrix} -1 \\ -1 \\ -1 \end{bmatrix}\right) = \begin{bmatrix} |-1 + -1| \\ 2 + 5(-1) \end{bmatrix} = \begin{bmatrix} 2 \\ -3 \end{bmatrix}$$

and

$$cT(\mathbf{u}) = -1T\left(\begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}\right) = -1\begin{bmatrix} \quad \\ \quad \end{bmatrix} = \begin{bmatrix} \quad \\ \quad \end{bmatrix}$$

Therefore $T(c\mathbf{u}) \neq cT(\mathbf{u})$ and therefore T is

not _____.

The matrix of a linear transformation

Identity Matrix I_n is an $n \times n$ matrix with 1's on the main left to right diagonal and 0's elsewhere. The i th column of I_n is labeled \mathbf{e}_i .

EXAMPLE:

$$I_3 = \begin{bmatrix} \mathbf{e}_1 & \mathbf{e}_2 & \mathbf{e}_3 \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

Note that

$$I_3 \mathbf{x} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix}$$

$$= \begin{bmatrix} \quad \\ \quad \end{bmatrix} + \begin{bmatrix} \quad \\ \quad \end{bmatrix} + \begin{bmatrix} \quad \\ \quad \end{bmatrix} = \begin{bmatrix} \quad \\ \quad \end{bmatrix}$$

In general, for \mathbf{x} in \mathbb{R}^n ,

$$I_n \mathbf{x} = \begin{bmatrix} \quad \\ \quad \end{bmatrix}$$

if $T: \mathbf{R}^n \rightarrow \mathbf{R}^m$ is a linear transformation, then $T(c\mathbf{u} + d\mathbf{v}) = cT(\mathbf{u}) + dT(\mathbf{v})$.

Generalized Result:

$$T(c_1\mathbf{v}_1 + \dots + c_p\mathbf{v}_p) = c_1T(\mathbf{v}_1) + \dots + c_pT(\mathbf{v}_p).$$

EXAMPLE: The columns of $I_2 = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}$ are $\mathbf{e}_1 = \begin{bmatrix} 1 \\ 0 \end{bmatrix}$

and $\mathbf{e}_2 = \begin{bmatrix} 0 \\ 1 \end{bmatrix}$. Suppose T is a linear transformation from \mathbf{R}^2 to \mathbf{R}^3 where

$$T(\mathbf{e}_1) = \begin{bmatrix} 2 \\ -3 \\ 4 \end{bmatrix} \text{ and } T(\mathbf{e}_2) = \begin{bmatrix} 5 \\ 0 \\ 1 \end{bmatrix}.$$

Compute $T(\mathbf{x})$ for any $\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$.

Solution: A vector \mathbf{x} in \mathbf{R}^2 can be written as

$$\begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \text{---} \begin{bmatrix} 1 \\ 0 \end{bmatrix} + \text{---} \begin{bmatrix} 0 \\ 1 \end{bmatrix} = \text{---} \mathbf{e}_1 + \text{---} \mathbf{e}_2$$

Then

$$\begin{aligned} T(\mathbf{x}) &= T(x_1\mathbf{e}_1 + x_2\mathbf{e}_2) = \text{---} T(\mathbf{e}_1) + \text{---} T(\mathbf{e}_2) \\ &= \text{---} \begin{bmatrix} 2 \\ -3 \\ 4 \end{bmatrix} + \text{---} \begin{bmatrix} 5 \\ 0 \\ 1 \end{bmatrix} = \begin{bmatrix} \\ \\ \end{bmatrix} \end{aligned}$$

Note that

$$T(\mathbf{x}) = \begin{bmatrix} \\ \\ \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}.$$

$$T(\mathbf{x}) = \begin{bmatrix} T(\mathbf{e}_1) & T(\mathbf{e}_2) \end{bmatrix} \mathbf{x} = A\mathbf{x}$$

To get A , replace the identity matrix $\begin{bmatrix} \mathbf{e}_1 & \mathbf{e}_2 \end{bmatrix}$ with $\begin{bmatrix} T(\mathbf{e}_1) & T(\mathbf{e}_2) \end{bmatrix}$.

Theorem

Let $T : \mathbf{R}^n \rightarrow \mathbf{R}^m$ be a linear transformation. Then there exists a unique matrix A such that

$$T(\mathbf{x}) = A\mathbf{x} \text{ for all } \mathbf{x} \text{ in } \mathbf{R}^n.$$

In fact, A is the $m \times n$ matrix whose j th column is the vector $T(\mathbf{e}_j)$, where \mathbf{e}_j is the j th column of the identity matrix in \mathbf{R}^n .

$$A = [T(\mathbf{e}_1) \quad T(\mathbf{e}_2) \quad \cdots \quad T(\mathbf{e}_n)]$$

$$\uparrow$$

standard matrix for the linear transformation T

EXAMPLE:
$$\begin{bmatrix} ? & ? \\ ? & ? \\ ? & ? \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} = \begin{bmatrix} x_1 - 2x_2 \\ 4x_1 \\ 3x_1 + 2x_2 \end{bmatrix}$$

Solution:

$$\begin{bmatrix} ? & ? \\ ? & ? \\ ? & ? \end{bmatrix} = \text{standard matrix of the linear transformation } T$$

$$\begin{bmatrix} ? & ? \\ ? & ? \\ ? & ? \end{bmatrix} = [T(\mathbf{e}_1) \quad T(\mathbf{e}_2)] =$$