

Course Outline & Reading List for EE482 Aug-Dec 2022, as of 11 Aug 2022

Course Outline: EE482 Industrialization: Role of Public and Private Sectors

Thammasat University, Semester 1/2022 (Aug 8 to Nov 26), 3 credits, Prerequisites EE312
Wed & Fri 1400-1530, Room 302, Instructor: Eric D. Ramstetter, Email: ramstmnc at gmail.com

COURSE DESCRIPTION AND OBJECTIVES: This class analyzes industrialization, focusing on the roles of public policy and other roles of public and private producers and consumers. The course's empirical focus will be the causes and effects of industrialization in Asia's advanced (e.g., Japan, Korea, Taiwan) and developing economies (e.g., China, India, Indonesia, Malaysia, Thailand, Vietnam). Students will read and practice writing "surveys of the literature" that review and summarize major findings of previous studies on a specific topic. An important goal of the class is to help students to learn research and writing techniques necessary to write a good thesis or dissertation, and eventually publish academically. These analytical, statistical, and writing techniques will also be useful in non-academic careers (e.g, business or government).

COURSE EVALUATION: 70% of the course grade will be based on a medium-length term paper (about 4000-5000 words or about 13-17 pp double-spaced with 2.5cm margins and 12-point font, including footnotes and references (see p. 6 below for details). The paper must review the existing literature on an industrial policy issue chosen by the student. Please see the "Term Paper Guidelines" on p. 7 and important principles for the constructing your list of references on pp. 8-10. Classes 10a and 10b are tentatively scheduled for student presentations of short, preliminary outlines of proposed term papers and related discussions. The purpose of the outline presentations is to help students refine their chosen topic, clearly state the core, analytical question(s) that their survey paper asks, and organize their papers so they become easier to write. Term papers must summarize economic analyses from 12 or more papers published in economics' journals listed in EconLit https://www.aeaweb.org/econlit/journal_list.php, including 3 or more papers from the *Journal of Economic Literature* or the *Journal of Economic Surveys* and 3 or more papers from *Industrial and Corporate Change*, *International Journal of Industrial Organization*, *Journal of Industrial Economics*, or *Review of Industrial Organization*. All papers must include a comprehensive and detailed list of references used in the paper. The most important principles when writing the paper is for the author to make it easy for the reader to (1) understand the paper, (2) check all the references cited, and (3) evaluate the author's interpretation of those references.

WARNING: If you plagiarize or fail to cite sources appropriately, you will FAIL THIS CLASS and may be subject to suspension or expulsion from the University for academic dishonesty.

30% of class evaluation will be based on student presentations and class participation. Students will prepare short outlines of the structure of assigned course readings, write 1-2 paragraphs summarizing the main points of the readings, and present them in class in about 8-10 minutes.

All assignments are to be submitted in MS Word (docx) files by email to the instructor from students' assigned TU email addresses to the instructor's email listed at the top of this page. Please submit outlines and summary paragraphs for student presentations by 8am the day of presentation and use only text and a simple font such as 12-point Times New Roman font on A4 paper in MS-Word format so the instructor can edit submissions, as appropriate.

CONSULTATIONS: The instructor will be available for consultations before and after most classes. If you want to make an appointment it is also possible to make appointments for other times. Please confirm the date and time of appointments by email at least 24 hours before the appointment. When emailing the instructor, please begin the email subject with "EE482" and use your assigned TU email address based on your student number.

COURSE MATERIALS: Course materials will be available from the instructor's Google Drive in two folders: (1) the "SyllabusAssignmentsReadings" folder will contain this syllabus, a list of reading assignments, and all readings; (2) the "Outlines" will contain all student outlines. Links for accessing these two folders will be sent to all students by email. Updates will be provided as necessary.

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CLASS SCHEDULE: Most classes are scheduled on Wednesdays and Fridays at 1400-1530. Information about makeup classes will be announced and this syllabus revised as early as possible. One makeup class is currently scheduled for 1530-1700 on 0810 (the first day of classes) to compensate for the Fri 0812 holiday. Another makeup will be required in exchange for the Fri 1014.

Class 01a-Wed 0810, **Class 01b-Wed 0810 (1530-1700)**
Class 02a-Wed 0817, Class 02b Fri 0819 Class 03a-Wed 0824, Class 03b-Fri 0826
Class 04a-Wed 0831, Class 04b-Fri 0902 Class 05a-Wed 0907, Class 05b-Fri-0909
Class 06a-Wed 0914, Class 06b-Fri 0916 Class 07a-Wed 0921, Class 07b-Fri 0923
Midterm Break Sun 0925-Sat 1002
Class 08a-Wed 1005, Class 08b-Fri 1007
Class 09a-Wed 1012, Class 09b-**Fri 1014 makeup to be scheduled**
Class 10a?-Wed 1019, Class 10b?-Fri 1021 Class 11a? Wed 1026, Class 11b?-Fri 1028
Class 12a?-Wed 1102, Class 12b?-Fri 1104 Class 13a? Wed 1109, Class 13b?-Fri 1111
Class 14a?-Wed 1116, Class 14b?-Fri 1118 Class 15a? Wed 1123, Class 15b?-Fri 1125

READING LIST, Classes -01a, 01b, 02a, 02b

Class 01a-Introduction & syllabus explanation; Thailand's Industrialization and Catch-up

01a1-Asian Development Bank (2015), *Thailand: Industrialization and Economic Catch-up*, Manila: Asian Development Bank, <http://hdl.handle.net/11540/5314>.
01zNOTforPRESENTATION-Urata, Shujiro and Ha Thi Thanh Doan (2022), "Introduction and overview", in Shujiro Urata and Ha Thi Thanh Doan, eds., *Globalisation and Its Economic Consequences: Looking at the APEC Economies*, London and New York: Routledge, pp. 1-25, DOI: 10.4324/9781003138501-1.

01b-International Convergence and Global Public Goods

01b1-Johnson, Paul and Chris Papageorgiou (2020), "What Remains of Cross-Country Convergence?", *Journal of Economic Literature*, 58(1), 129-175, <https://doi.org/10.1257/jel.20181207>.
01b2-Felipe, Jesus, Utsav Kumar & Reynold Galope (2017), "Middle-income transitions: trap or myth?", *Journal of the Asia Pacific Economy*, 22:3, 429-453, DOI: 10.1080/13547860.2016.1270253.
01b3-Buchholz, Wolfgang and Todd Sandler (2021), "Global Public Goods: A Survey", *Journal of Economic Literature*, 59(2), 488-545, <https://doi.org/10.1257/jel.20191546>.

Class 02a-Trade and Industrialization Policies in Korea and Indonesia

02a1-Connolly, Michelle and Kei-Mu Yi (2015), "How Much of South Korea's Growth Miracle Can Be Explained by Trade Policy?", *American Economic Journal: Macroeconomics*, 7(4): 188-221, <http://dx.doi.org/10.1257/mac.20120197>.
02a2-Narjoko, Dionisius, Titik Anas, and Robertus Herdiyanto (2018), "The Elusive Pursuit of Import Substitution in 21st Century Indonesia", *Asian Economic Papers*, 17(1), 73-93, doi:10.1162/ASEP_a_00588.

Class 02b-Trade and Industrialization Policies in China and India

02b1-Brandt, Loren, Debin Ma, and Thomas G. Rawski (2014), "From Divergence to Convergence: Reevaluating the History Behind China's Economic Boom", *Journal of Economic Literature*, 52(1), 45-123, <http://dx.doi.org/10.1257/jel.52.1.45>.
02b2-Kotwal, Ashok, Bharat Ramaswami, and Wilima Wadhwa (2011), "Economic Liberalization and Indian Economic Growth: What's the Evidence?", *Journal of Economic Literature*, 49(4), 1152-1199, <http://www.aeaweb.org/articles.php?doi=10.1257/jel.49.4.1152>.

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READING LIST, Classes -03a, 03b, 04a, 04b, 05a, 05b, 06a, 06b

Class 03a- Japan's Firms and Industrial Targeting

- 03a1-Davis, Donald R. and David E. Weinstein (2003), "Why countries trade Insights from firm-level data", *Journal of Japanese International Economies*, 17(4), 432-447, doi:10.1016/j.jjie.2003.09.007.
- 03a2-Beason, Richard and David E. Weinstein (1996), "Growth, Economies of Scale, and Targeting in Japan 1955-1990", *The Review of Economics and Statistics*, 78(2), 286-295, <http://www.jstor.org/stable/2109930>.

Class 03b-Industrial Policies and Trade Performance in Korea, Taiwan, and China

- 03b1-Aw, Bee Yan, Sukkyun Chung, and Mark J. Roberts, 2003, "Productivity, Output, and Failure: A Comparison of Taiwanese & Korean Manufacturers", *The Economic Journal*, 113, F485-510, <https://doi.org/10.1046/j.0013-0133.2003.00166.x>.
- 03b2-Aghion, Philippe, Jing Cai, Mathias Dewatripont, Luosha Du, Ann Harrison, and Patrick Legros (2015), "Industrial Policy and Competition", *American Economic Journal: Macroeconomics*, 7(4), 1-32, <http://dx.doi.org/10.1257/mac.20120103>.

Class 04a-Large Firms and Taxing Rents

- 04a1-Lamoreaux, Naomi R. (2019), "The Problem of Bigness: From Standard Oil to Google", *Journal of Economic Perspectives*, 33(3), 94-117, <https://doi.org/10.1257/jep.33.3.94>.
- 04a2-Schwerhoff, Gregor, Ottmar Edenhofer, and Marc Fleurbaey (2020), "Taxation of Economic Rents", *Journal of Economic Surveys*, 34(2), 398-423, doi: 10.1111/joes.12340.

Class 04b-Patents in ICT and Corporate Scientists' Effects on Firm Innovation

- 04b1-Comino, Stefano, Fabio M. Manenti, and Nikolaus Thumm (2019), "The Role of Patents in Information and Communication Technologies: A Survey of the Literature", *Journal of Economic Surveys*, 33(2), 404-430, doi: 10.1111/joes.12277.
- 04b2-Herrera, Liliana (2020), "The Effect of Corporate Scientists on Firms' Innovation Activity: A Literature Review", *Journal of Economic Surveys*, 34(1), 109-153, doi: 10.1111/joes.12341.

Class 05a-The GATT/WTO and Modeling Trade with Heterogeneous Firms

- 05a1-Anderson, Kym (2016), "Contributions of the GATT/WTO to Global Economic Welfare: Empirical Evidence", *Journal of Economic Surveys*, 30(1), 56-92, doi: 10.1111/joes.12087.
- 05a2-Helpman, Elhanan (2006), "Trade, FDI, and the Organization of Firms", *Journal of Economic Literature*, 44(3), 589-630.

Class 05b-Modeling Trade with Heterogeneous Firms (continued)

- 05b1-Helpman, Elhanan, Marc Melitz, Yona Rubenstein (2008), "Estimating Trade Flows: Trading Partners and Trading Volumes", *The Quarterly Journal of Economics*, 123(2),441-487.
- 05b2-Bernard, Andrew B., J. Bradford Jensen, Stephen J. Redding, and Peter K. Schott (2018), "Global Firms", *Journal of Economic Literature*, 56(2), 565-619, <https://doi.org/10.1257/jel.20160792>.

Classes 06a & 06b-Industrialization & the Old Economic Development & Growth Literature

Excerpts from Hayami, Yujiro, 2002, *Development Economics: From the Poverty to the Wealth of Nations*, Oxford and New York: Oxford University Publishers.

- 06a1-Ch01-A Theoretical Framework for Economic Development, 9-30
Ch02-A Comparative Perspective on Developing Economies, 31-58
- 06a2-Ch03-Population Growth and the Constraint of Natural Resources, 59-87
Ch04-Breaking the Resource Constraint, 88-118
- 06b1-Ch05-Capital Accumulation and Economic Development, 119-155
Ch06-Patterns and Sources of Technological Progress, 156-180
- 06b2-Ch07-Income Distribution and Environmental Problems, 181-220
Ch08-Market and State, 221-284
- Reference reading: Ch09-The Role of the Community in Economic Development, 285-320

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READING LIST (07a, 07b, 08a, 08b, 09a, 09b, 10a, 10b)

Class 07a-The High Costs of Import Protection: the China-US Trade War Version

- 07a1-Bown, Chad P. (2021), "The US-China trade war and Phase One agreement", *Journal of Policy Modeling*, 43(4), 805-843, <https://doi.org/10.1016/j.jpolmod.2021.02.009>.
- 07a1-NOTforPRESENTATION-Bown, Chad P. and Melina Kolb (2022), "Trump's Trade War Timeline: An Up-to-Date Guide", Updated June 21, Washington, D.C.: Peterson Institute for International Economics, <https://www.piie.com/sites/default/files/documents/trump-trade-war-timeline.pdf>.
- 07a2-Flaaten, Aaron, Ali Hortaçsu, and Felix Tintelnot (2020), "The Production Relocation and Price Effects of US Trade Policy: The Case of Washing Machines," *American Economic Review*, 110 (7): 2103-2127, DOI: 10.1257/aer.20190611.

Class 07b-Policy, Institutions, and Exporting by Chinese Firms

- 07b1-Feng, Ling, Zhiyuan Li, Deborah L. Swenson (2017), "Trade policy uncertainty and exports: Evidence from China's WTO accession", *Journal of International Economics*, 106, 20-36, <http://dx.doi.org/10.1016/j.jinteco.2016.12.009>.
- 07b2-Xie, Zhenzhen & Jiatao Li (2018), "Exporting and innovating among emerging market firms: The moderating role of institutional development", *Journal of International Business Studies*, 49(2) 222-245, <https://doi.org/10.1057/s41267-017-0118-4>.

Class 08a-Industrial Gains from Importing in China and Indonesia

- 08a1-Liu, Qing, Larry D. Qiu, Chaoqun Zhan (2019). "Trade liberalization and domestic vertical integration: Evidence from China", *Journal of International Economics*, 121, 103250, <https://doi.org/10.1016/j.jinteco.2019.103250>.
- 08a2-Amiti, Mary, and Jozef Konings (2007), "Trade Liberalization, Intermediate Inputs, and Productivity: Evidence from Indonesia." *American Economic Review*, 97(5). 1611-1638, DOI: 10.1257/aer.97.5.1611.

Class 08b-The Gains from Importing in Indonesia (continued)

- 08b1-Imbruno, Michele and Tobias D. Ketterer (2018), "Energy efficiency gains from importing intermediate inputs: Firm-level evidence from Indonesia", *Journal of Development Economics*, 135, 117-141, <https://doi.org/10.1016/j.jdeveco.2018.06.014>.
- 08b2-Amiti, Mary and Lisa Cameron (2012), "Trade Liberalization and the Wage Skill Premium: Evidence from Indonesia", *Journal of International Economics*, 87(2), 277-287, <https://doi.org/10.1016/j.jinteco.2012.01.009>.

Class 09a-How Trade Policies Cause Inefficiencies in Steel and Auto Production

- 09a1-Blonigen, Bruce A. (2016), "Industrial Policy and Downstream Export Performance", *The Economic Journal*, 126 (September), 1635-1659, Doi: 10.1111/ecoj.12223.
- 09a2-Amighini, Alessia and Sara Gorgon (2014), "The International Reorganisation of Auto Production", *The World Economy*, 37(7), 923-952, doi: 10.1111/twec.12091.

Class 09b-Wind and Electric Vehicles in Emerging Asia and Thai Trade Patterns

- 09b1-Hain, Daniel S., Roman Jurawetzki, and Primož Konda, and Lars Oehler (2020), "From catching up to industrial leadership: towards an integrated market-technology perspective. An application of semantic patent-to-patent similarity in the wind and EV sector", *Industrial and Corporate Change*, 29(5), 1233-1255, doi: 10.1093/icc/dtaa021.
- 09b2-Apaitan, Tosapol, Piti Disyatat, and Krislert Samphantharak (2019), "Dissecting Thailand's International Trade: Evidence from 88 Million Export and Import Entries", *Asian Development Review*, 36(1), 20-53. https://doi.org/10.1162/adev_a_00122.

Classes 10a & 10b-All students present 1-2 page outlines and lists of references for their term papers.

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READING LIST (11a, 11b, 12a, 12b, 13a, 13b, 14a, 14b)

Class 11a: Direct Effects of Foreign Multinationals and China's Industrialization

- 11a1-Wang, Jian and Xiao Wang (2015), "Benefits of foreign ownership, Evidence from foreign direct investment in China", *Journal of International Economics*, 97(2), 325-338, <http://dx.doi.org/10.1016/j.jinteco.2015.07.006>.
- 11a2-Swenson, Deborah L. and Huiya Chen (2014), "Multinational Exposure and the Quality of New Chinese Exports", *Oxford Bulletin of Economics and Statistics*, 76(1), 41-66, doi: 10.1111/j.1468-0084.2012.00726.x.

Class 11b: Spillover Effects of Foreign Multinationals, Politics, and China's Industrialization

- 11b1-Jefferson, Gary H. and Miao Ouyang (2014), "FDI spillovers in China: why do the research findings differ so much?", *Journal of Chinese Economic and Business Studies*, 12(1), 1-27, <http://dx.doi.org/10.1080/14765284.2013.875292>.
- 11b2-Yang, Yuan and Min Tang (2014), "Do political tensions take a toll? The effect of the Sino-Japan relationship on sales of Japanese-brand cars in China", *Asian Business & Management*, 13(5), 359-378, <https://doi.org/10.1057/abm.2014.12>.

12a-Wage Effects of Foreign Multinationals in China and Vietnam

- 12a1-Girma, Sourafel, Holger Görg, and Erasmus Kersting (2019), "Which boats are lifted by a foreign tide? Direct and indirect wage effects of foreign ownership", *Journal of International Business Studies*, 50(6), 923-947, <https://doi.org/10.1057/s41267-019-00248-2>.
- 12a2-Nguyen, Kien Trung and Eric D. Ramstetter (2019), "Ownership-related Wage Differentials by Occupation in Vietnamese Manufacturing", *Singapore Economic Review*, 64(3), 625-645, DOI: 10.1142/S0217590818500303.

12b-Employment Effects of Multinationals in Home Economies

- 12b1-Yamashita, Nobuaki and Kyoji Fukao (2010), Expansion abroad and jobs at home: Evidence from Japanese multinational enterprises, *Japan and the World Economy*, 22, 88-97, <http://dx.doi.org/10.1016/j.japwor.2009.10.001>.
- 12b2-Huang, Chia-Hui, Ramstetter, Eric D., & Yang, Chi Hai (2017). "How does overseas activity affect parent firm employment in newly advanced economies? Evidence from Taiwan", *Taiwan Economic Review*, 45(3), 391-419, <https://doi.org/10.627/TER.2017.453.1>.

Class 13a-Economics, Climate Change, and Other Consequences of Modernization

- 13a1-Nordhaus, William (2019), "Climate Change: The Ultimate Challenge for Economics", *American Economic Review*, 109(6), 1991-2014, <https://doi.org/10.1257/aer.109.6>.
- 13a2-Heal, Geoffrey (2017), "The Economics of the Climate", *Journal of Economic Literature*, 55(3), 1046-1063, <https://doi.org/10.1257/jel.20151335>.

Class 13b-Industrialization and Externalities Revisited

- 13b1-Parry, Ian W.H., Margaret Walls, and Winston Harrington (2007), "Automobile Externalities and Policies", *Journal of Economic Literature*, 45(2), 373-399, DOI: 10.1257/jel.45.2.373.
- 13b2-Libecap, Gary D. (2014), "Addressing Global Environmental Externalities: Transaction Costs Considerations", *Journal of Economic Literature*, 52(2), 424-479, <http://dx.doi.org/10.1257/jel.52.2.424>.

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READING LIST (14a, 14b, 15a, 15b)

Class 14a-Industrialization and Pollution in Korea and China

- 14a1-Lee, Myunghun (2008), "Environmental regulation and production structure for the Korean iron and steel industry", *Resource and Energy Economics*, 30, 1-11, doi:10.1016/j.reseneeco.2007.01.006.
- 14a2-Zheng, Siqi and Matthew E. Kahn (2017), "A New Era of Pollution Progress in Urban China", *Journal of Economic Perspectives*, 31(1), 71-92, <https://doi.org/10.1257/jep.31.1.71>.

Class 14b-Industrialization and Pollution in China (continued)

- 14b1-Auffhammer, Maximilian, Weizeng Sun, Jianfeng Wu, Siqi Zheng (2016), "The Decomposition and Dynamics of Industrial Carbon Dioxide Emissions for 287 Chinese Cities in 1998-2009", *Journal of Economic Surveys*, 30(3), 460-481, doi: 10.1111/joes.12158.
- 14b2-Ebenstein, Avraham (2012), "The Consequences of Industrialization, Evidence from Water Pollution and Digestive Cancers in China", *The Review of Economics and Statistics*, 94(1), 186-201, https://doi.org/10.1162/REST_a_00150.

Class 15a1-Early Industrialization and Agriculture

- Excerpts from Oshima, Harry T., 1987, *Economic Growth in Monsoon Asia*, Tokyo; University of Tokyo Press.
- 15a1-Ch01-The Nature of Asia's Monsoon Economy and Its Heritage of Poverty, 15-46.
- 15a2-Ch02-An Analytical Framework for Monsoon Development, 47-72.

Class 15a1-Early Industrialization and Agriculture (excerpts from Oshima continued)

- 15b1-Ch03-The Record of Postwar Economic Growth . . . in Monsoon Asia, 73-100.
- 15b2-Ch07-Thailand Catches Up with the Philippines, 199-234.

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Term Paper Guidelines, submit to “ramstmnc [at] gmail.com” by 27 November 2022

1. Topic and Length: 70% of the course grade will be based on a medium-length term paper (about 4000-5000 words or about 13-17 pp double-spaced with 2.5cm margins and 12-point font, including footnotes and references). The paper must review the existing literature on an industrial policy issue chosen by the student. Most students tend to choose topics that are too broad making it difficult to write a clear, concise paper. The instructor (or other students) may offer suggestions about how to revise topics or analytical focus so the paper is easier to write. The instructor encourages students to choose a topic related to future theses or dissertations, and perhaps eventual publication.

2. Structure: Please use the following structure and write paragraphs of 3 to 7 sentences long. This will greatly improve the quality of the paper and its grade. Paragraphs shorter than 3 sentences are generally terrible academic English. Paragraphs longer than 7 sentences are often unnecessarily confusing.

- a. Introduction (2 paragraphs, paragraph 1 explains the paper's main analytical question(s) and importance; paragraph 2 explains how the paper is organized to provide answers to the questions.)
- b. Theory and Methodology; about 3-5 pages; 2 subsections (b1=Theory; b2=Methodology) might help.
- c. Empirical Evidence (about 7-10 pages; 2 to 5 subsections might be useful but more than 5 subsections will be a distraction to the reader and reduce the quality (and grade) of the paper.
- d. Conclusions (about 1-3 paragraphs)
- e. References (about 1-2 pages, single-spaced, for 15-20 references)
- f. Tables (about 1-2 pages for tables summarizes previous literature results); please do not use tables with data or econometric results but please concentrate on verbal summaries of previous studies

3. List of References: Please use the author (year) reference style similar to that in the list of class readings above. Term papers must summarize economic analyses from 12 or more papers published in economics' journals listed in EconLit https://www.aeaweb.org/econlit/journal_list.php, including 3 or more papers from the *Journal of Economic Literature* or the *Journal of Economic Surveys* and 3 or more papers from *Industrial and Corporate Change*, *International Journal of Industrial Organization*, *Journal of Industrial Economics*, or *Review of Industrial Organization*. Other references can also be used, but your paper should focus primarily on summarizing academic papers from the above journals and previously unpublished analyses should NOT be included in the term paper. The papers in the reading list for this class and papers in their lists of references are good places to begin your literature search.

4. Citations: All sources of ideas and data that are not common knowledge to 95%+ of all Thammasat students must be clearly cited in the text, with publication details provided in the list of references (see pp. 8-10 below for details). All sources in the list of references must be cited and discussed at least one time in the paper. Students who fail to cite or use sources appropriately will be guilty of PLAGIARISM and fail EE482. Commission of plagiarism, the worst form of academic dishonesty, usually results in suspension or expulsion from any credible university, including Thammasat University.

The simplest method of citation in the text is the author (year) system; for example, reading 04a can be referred to as Lamoreaux (2019) in the text and details provided in the list of references. If paraphrasing, you could say: Lamoreaux (2019) emphasizes that increased large superstar shares is often a result of effective corporate competition, not of using market power. If making a direct quote, you need to use quotation marks and a page reference; for example, Lamoreaux (2019, p. 110) agrees with Carl Shapiro that increasing market shares of superstar firms "does not necessarily mean that the economy had become less competitive".

5. Submission Format and Deadline: Please submit the paper electronically in one file in Microsoft Word format (*.docx, *.doc) to “ramstmnc” at gmail.com before Sat 27 Nov.

DUE DATE: SAT 27 NOV

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Term Paper: Important Principles for the List of References (1/3)

1. The most important principle is to provide sufficient information about every publication or reference cited and clear indications of when and where and how they are used in text.

2. For easy citation of references in a text, it is often easiest to use an author-date system. For example, if the publication below is cited in a paper the easiest way to cite it is to call it Bernard and Jensen (2004) in your text; i.e., you could say that Bernard and Jensen (2004) analyze the determinants of determinants of firm exporting.

3. Correspondingly, when listing publications in your bibliography it is common to use “author-year” styles similar to the style in the syllabuses for my courses. In other words, author(s) name(s) are listed first, followed by the year of publication, and then other publication details. The goal is to create a 1-to-1 correspondence between text citations and the list of references (e.g., the reference Nguyen and Ramstetter (2019) clearly corresponds to the publication listed under 3a1 below. If there are 2 publications by the same author(s) in the same year, you can distinguish them by adding a, b, c, ..., etc. to the end of the year number (e.g., listing one as Nguyen and Ramstetter (2019a) and another as Nguyen and Ramstetter (2019b).

3a. Required details in academic papers differ depending on the type of publication. I provide some examples of the styles I usually use below. Styles differ among publishers, but the details included are standard. Including internet URLs can be very helpful, but many internet links change or disappear over time. A site called “doi.org” assigns fixed doi numbers to “good” publications that should be tracked on the internet when their URLs change. Because doi numbers are more useful than internet URLs, please USE doi numbers whenever possible (e.g., for refereed journal papers).

3a1. Articles in academic journals (journal articles are considered to be the “highest quality” of academic publications, particularly if the journal is refereed and ranked highly.

Items: Authors (year), “article title”, *journal title*, VolumeNo(IssueNo), pages or article number, DOI number

Examples:

Bernard, Andrew B. and J. Bradford Jensen (2004), “Why Some Firms Export”, *Review of Economics and Statistics*, 86(2), 561-569, <https://doi.org/10.1162/003465304323031111>.

Nguyen, Kien Trung and Eric D. Ramstetter (2019), “Ownership-related Wage Differentials by Occupation in Vietnamese Manufacturing”, *Singapore Economic Review*, 64(3), 625-645, <https://doi.org/10.1142/S0217590818500303>.

3a2. Books

Items: Authors (year), *book title*, city or cities of publication: publisher

Examples:

Hayami, Yujiro, 2002, *Development Economics: From the Poverty to the Wealth of Nations*, Oxford and New York: Oxford University Publishers.

Caves, Richard E. (2007) *Multinational enterprise and economic analysis* (3rd ed.). Cambridge, UK: Cambridge University Press.

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Term Paper: Important Principles for the List of References (2/3)

3a3. Articles in books

Items: Authors (year), “article title”, in book editors, *book title*, city or cities of publication: publisher, pages of the article, URL if relevant.

Examples:

Sjöholm, Fredrik and Sadayuki Takii (2006), “Multinational Companies and Exports in Indonesian Manufacturing Exports” in Eric D. Ramstetter and Fredrik Sjöholm, eds., *Multinationals in Indonesia and Thailand: Wages, Productivity and Exports*. Hampshire, UK: Palgrave-Macmillan, 173-193.

Tham, Siew Yean and Liew Chei Siang (2014), “The Impact of Foreign Labor on Labor Productivity and Wages in Malaysian Manufacturing, 2000-2006”, in Adams, Richard H. Jr and Ahmad Ahsan, eds. (2014), *Managing International Migration for Development in East Asia*, Washington, D.C.: World Bank, 136-158, <http://documents.worldbank.org/curated/en/2014/06/23036792/managing-international-migration-development-east-asia>

3a4. Working Papers, Government Documents, and Other Non-refereed publications

Items: Author (year), “document title”, document publication location: document publisher, other relevant details (e.g., working paper series name and number).

Examples:

Ottaviano, Gianmarco I.P. and Giovanni Peri (2008), “Immigration and National Wages: Clarifying the Theory and the Empirics”, NBER Working Paper 14188, Cambridge, MA: National Bureau of Economic Research, <http://www.nber.org/papers/w14188>.

Ramstetter, Eric D. and Kien Trung Nguyen (2016), “Multinational Enterprise Growth and Vietnam’s Employment and Wages in Manufacturing and Trade Industries: Did Takeovers Play a Role?”, Working Paper 2016-05, Kitakyushu: Asian Growth Research Institute.

3a5. Internet or Electronic ONLY resources: for this category does NOT include publications with a printed version and should be a very small category. If you cite 15 publications in your paper, no more than 2 or 3 should fall into this category. Please concentrate on reading academic papers in refereed journals (see 3a1 above).

As illustrated under 3a3 above, for publications available in print AND on the internet, the PRINT DETAILS must be provided, in addition to the URL, because they do not change like internet information often does. In these cases, the “standard style” should include all of the following:

Document Author, YearPublished, “Title”, City of Publisher, Name of Publisher, URL

Examples:

Bank of Thailand (2018), “Total Value and Quantity of Exports Classified by Product Group”, Bangkok: Bank of Thailand Table EC_XT_008_S2, downloaded 23 February from <https://www.bot.or.th/English/Statistics/EconomicAndFinancial/Pages/StatInternationalTrade.aspx>

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Term Paper: Important Principles for the List of References (3/3)

Central Institute for Economic Management (CIEM), General Statistics Office, and Development Economics Research Group, University of Copenhagen (2015), "Firm-level Technology and Competitiveness in Vietnam, Evidence from 2010-2014 Surveys", Copenhagen: University of Copenhagen
<http://www.econ.ku.dk/ftarp/Publications/Docs/Sacned%20Pubs/Tech%20report%202009-2013%20April%202015.pdf>.

National Economic and Social Development Board (2012), Gross output and value added data for manufacturing by 4-digit TSIC, 1995-2010, Bangkok: National Economic and Social Development Board, data provided by email in December.

National Statistical Office (2009), *The 2007 Industrial Census Whole Kingdom*, Bangkok: National Statistical Office, publication tables downloaded from http://service.nso.go.th/nso/nso_center/project/search_center/23project-en.htm (link as of 11 May 2018) and underlying plant-level data.

United Nations COMTRADE (2016), SITC revision 3 data downloaded from the COMTRADE database, July 2016, New York: United Nations, <http://comtrade.un.org/db/dqBasicQuery.aspx>.

General note about name order for the first author in multiple author citations:

I prefer to list the first author's name with the family name first (Chinese/Korean/Japanese style) as follows: FamilyNameAuthor1, GivenNamesAuthor1 and GivenNamesAuthor2 FamilyNameAuthor2 (YEAR), other details depend on the type of publication

I use this style because I think it corresponds more directly to citations in the text, which use only family names. To illustrate, the reference example given under 3a1 above would NEVER be referred to as Kien and Eric (2019) but always rather Nguyen and Ramstetter (2019).

However, some journals will list the first author as "Kien Trung Nguyen" (English order), not Nguyen, Kien Trung) as I do. The alternative style is less confusing for some.

GivenNamesAuthor1 FamilyNameAuthor1 and GivenNamesAuthor2 FamilyNameAuthor2 (YEAR), other details depend on the type of publication

The choice of which order to list the first author's name is somewhat arbitrary but I do think English order is probably more common these days.

The important point is NOT if the family name comes first or last in your list of references. However, please DO be sure to list BOTH family and given names in your citations and list of references (=bibliographies) and to use a consistent format in order to help your readers.